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# SQL to Stream with S2S

An Automatic Benchmark

Generator for the Java Stream API



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# Java Stream API - Introduction

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- The Java Stream API allows manipulating sequences of elements in a function style
- Computation is expressed as a pipeline of operations
  - Stream creation (e.g., creating a stream from an array or a collection)
  - Intermediate operations (e.g., mapping a function or filtering by a predicate)
  - Terminal operation (e.g., applying an aggregation function)
- Concise style improves readability and maintainability
- Facilitates parallelization: automatically splits computation using fork-join tasks



# Java Stream API - Performance

- The Stream API often leads to performance degradation [1]
- Existing tools optimize streams by transformation them to loops [2], [3]
  - Lack of benchmarks: these tools have been evaluated on (manually selected or implemented) micro-benchmarks

[1] O. Kiselyov et al., "Stream Fusion, to Completeness". POPL 2017.

[2] A. Møller et al., "Eliminating Abstraction Overhead of Java Stream Pipelines Using Ahead-of-Time Program Optimization". OOPSLA 2020

[3] M. Basso et al., "Optimizing Parallel Java Streams". ICECCS 2022



# The Problem

- Lack of benchmarks dedicated to the Java Stream API
  - Most of existing Java benchmarks (e.g., DaCapo) do not evaluate streams
  - Only Renaissance [1] benchmark suite have (only three) workloads for stream
- Researchers and language implementors need benchmarks
- Designing benchmarks is tedious and requires a lot of engineering effort [2]

[1] A. Prokopec et al., "Renaissance: Benchmarking Suite for Parallel Applications on the JVM". PLDI 2019

[2] Y. Zheng et al., "AutoBench: Finding Workloads That You Need Using Pluggable Hybrid Analyses".

SANER 2016



# The Solution

- The Stream API is basically a data-processing library
- Many data-processing benchmarks already exist!
- The database community created many benchmarks for SQL
  - I.e., a dataset and a set of SQL queries, e.g., TPC-H

```
int sumOfSquaresEven(int[] source) {  
    return IntStream.of(source)  
        .filter(x -> x % 2 == 0)  
        .map(x -> x * x)  
        .sum();  
}
```

```
SELECT SUM(x * x)  
FROM T  
WHERE x % 2 == 0
```

- Reusing SQL benchmarks for the Java Stream API seems a natural solution
- Automatic conversion of SQL query into Java code that uses the Stream API



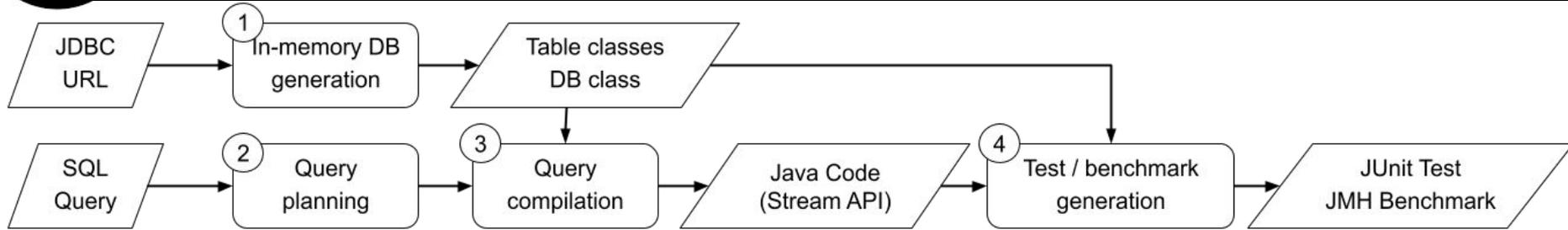
# SQL to Stream with S2S

- Before execution, a SQL query is parsed and converted into a query plan
  - An AST-like representation of the query
- The query plan is then executed by interpretation or compilation
- S2S compiles a query plan into Java source code that makes use of the Stream API
- Queries generated with S2S are then:
  - Tested by comparing the results with an existing SQL engine (Apache Calcite [1])
  - Wrapped into (JMH) benchmarks
- S2S is open-source: [github.com/usi-dag/S2S](https://github.com/usi-dag/S2S)

[1] E. Begoli et al., “Apache Calcite: A foundational framework for optimized query processing over heterogeneous data sources”. SIGMOD 2018



# S2S Overview



0. Input: JDBC url for a DB connection (e.g., a SQLite local dataset) and a SQL query
1. Connects to a database and reads table schemas
2. Converts the input SQL query into a query plan (leveraging Apache Calcite)
3. Compiles the query plan into a Java implementation that uses the Stream API
4. Generates test (JUnit) and benchmarks (JMH) source classes



# S2S Query Compiler - Conversion Table

SQL Query Plan Node (operator)	Stream API Method
Table Scan	Stream.of
Projection	Stream.map
Predicate	Stream.filter
Limit	Stream.limit
Sort	Stream.sorted
Aggregate	Stream.collect (custom collector)
Nested-Loop Join	Stream.toList / Stream.flatMap
Hash Join	Stream.collect(groupingByCollector) / Stream.flatMap



# S2S Compiler: Aggregations

- Aggregation is the most challenging operator for our conversion
  - A SQL aggregation is defined by a list of aggregation functions
    - E.g., **SELECT MIN(x), AVG(y) from T**
  - There is no interface in the Java Stream API that allows defining multiple aggregation functions
- S2S compiles aggregations with the terminal operator **.collect**, a mutable reduction
  - The generated code representing the collector is imperative
  - See the paper for details



# BSS: Benchmark Suite for the Stream API

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- BSS is the first publicly available benchmark suite for the Java Stream API
- It is composed on a set of 8 simple queries
  - Dataset from the TPC-H benchmark
  - 7 queries from stream-fusion engine [1]
  - One query added to show most of the supported operators in a single query

[1] A. Shaikhha et al., “Push vs. Pull-Based Loop Fusion in Query Engines”. Journal of Functional Programming. 2016



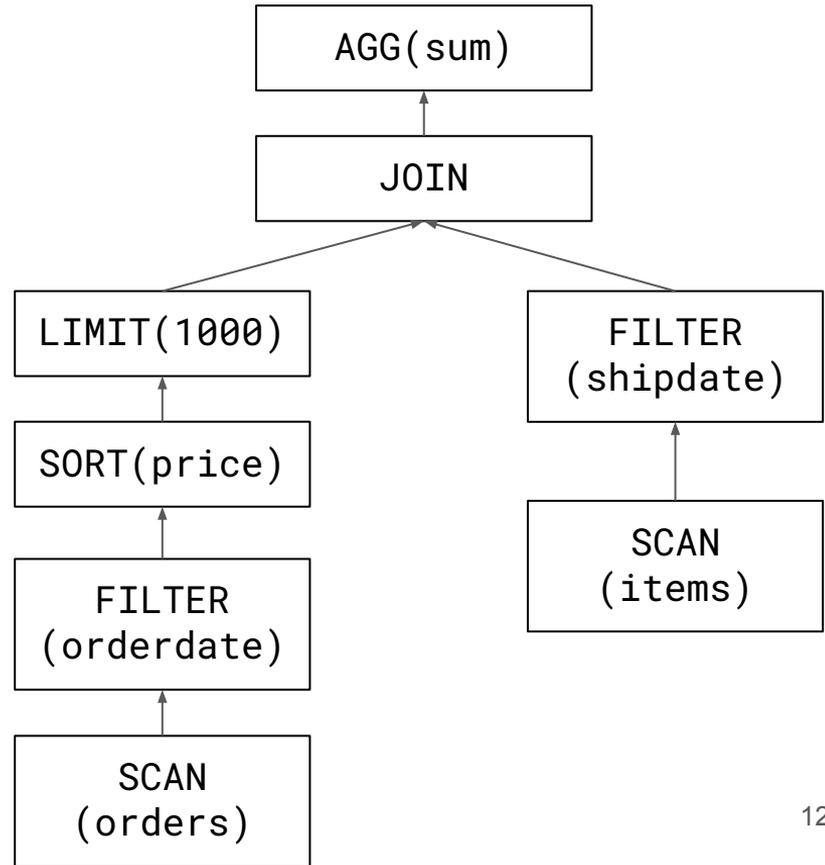
# S2S Compilation Example (query)

```
WITH top_orders AS (  
    SELECT * FROM orders  
    WHERE orderdate >= '1995-12-01'  
    ORDER BY price DESC  
    LIMIT 1000)  
WITH fastship_items AS (  
    SELECT * FROM items  
    WHERE shipdate <= '1995-12-02')  
SELECT SUM(I.price) as total  
FROM top_orders O, fastship_items I  
WHERE O.orderkey = I.orderkey
```



# S2S Compilation Example (plan)

```
WITH top_orders AS (  
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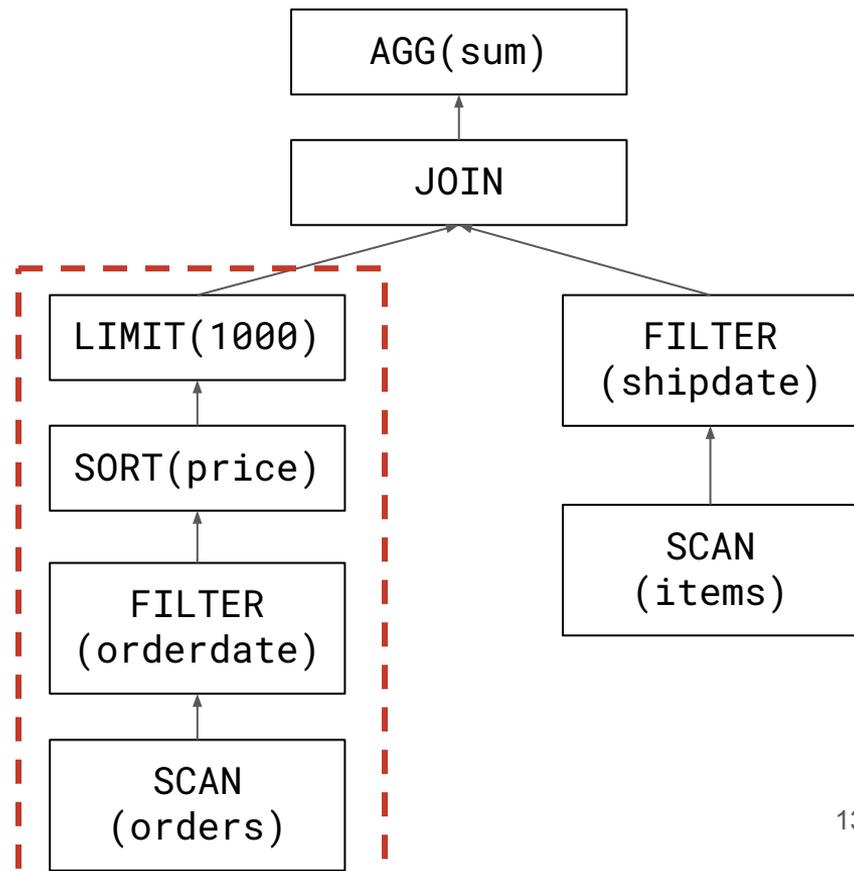




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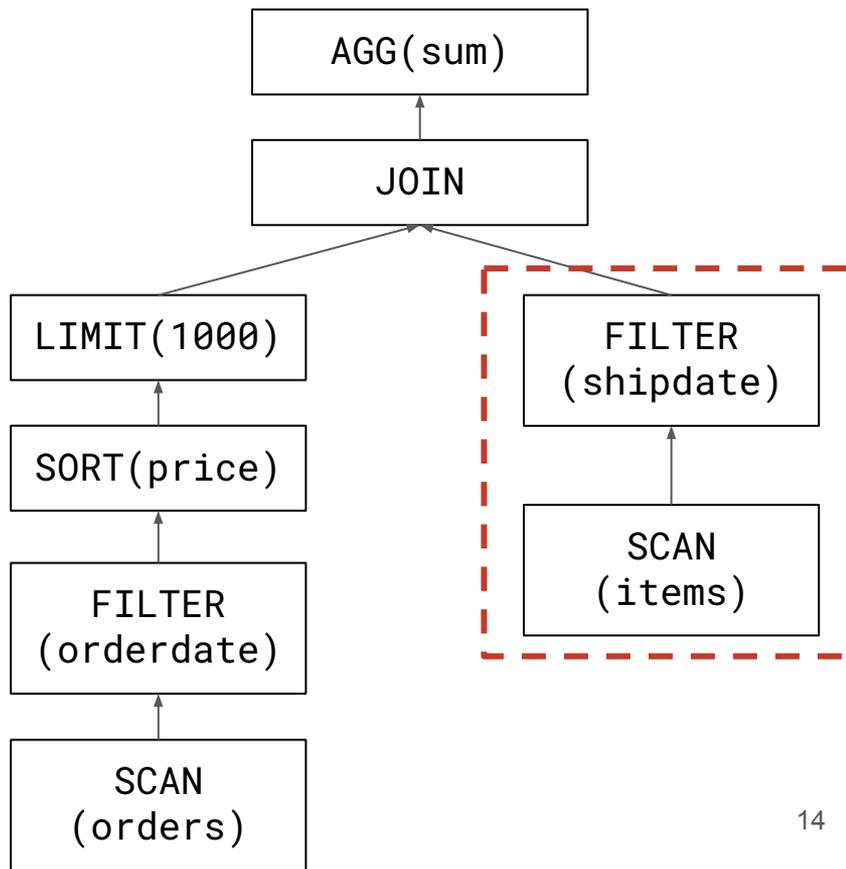




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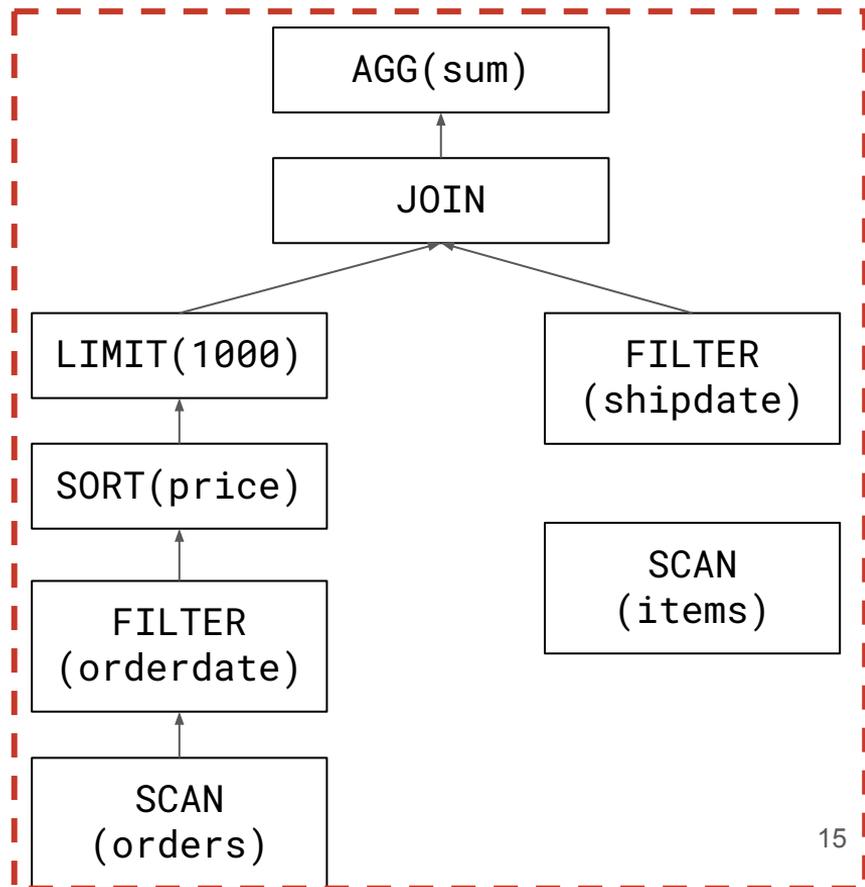


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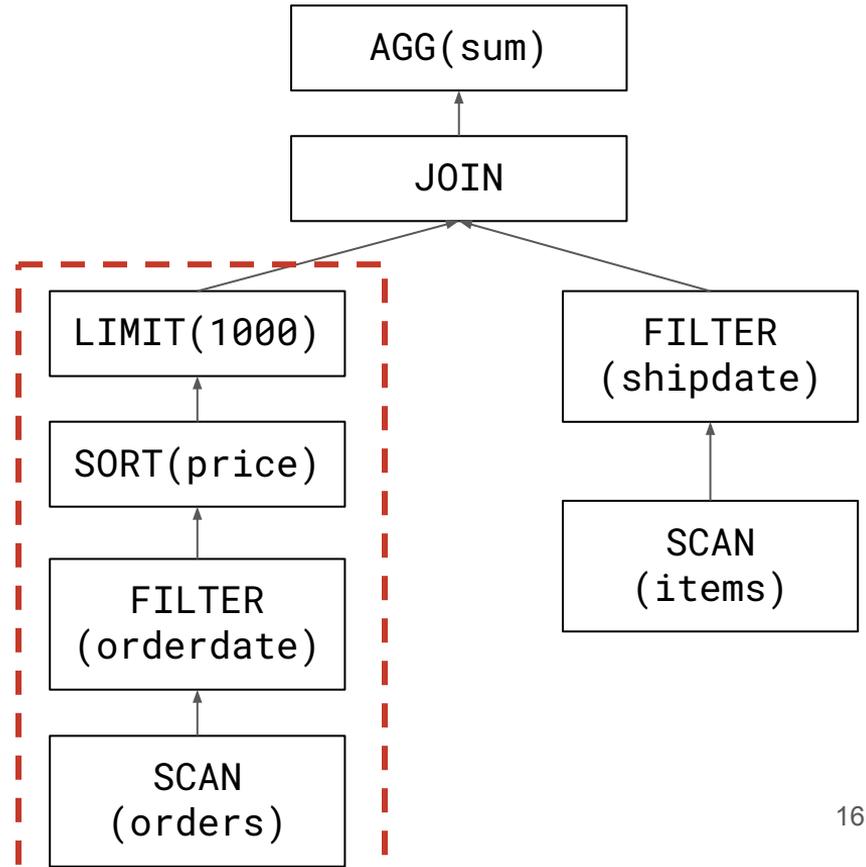




# S2S Compilation Example (codegen)

```
HashMap<JoinKey, List<OrderRow>> hm =  
    Stream.of(DB.orders)  
        .filter(o -> o.orderdate > DATE_FROM)  
        .sorted(o -> o.price)  
        .limit(1000)  
        .collect(groupByCollector(orderkey));
```

```
int result =  
    Stream.of(DB.items)  
        .filter(i -> i.shipdate < DATE_TO)  
        .flatMap(i -> hm.get(i.orderkey)  
                .map(joinRows(i, o)))  
        .sum(joined -> joined.price)
```

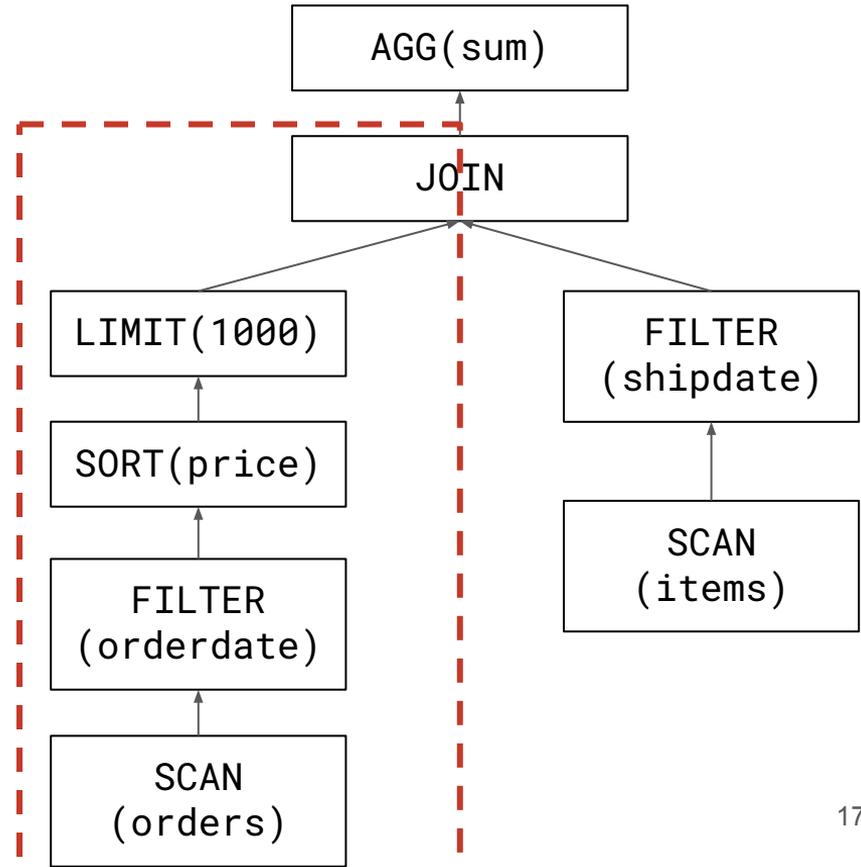




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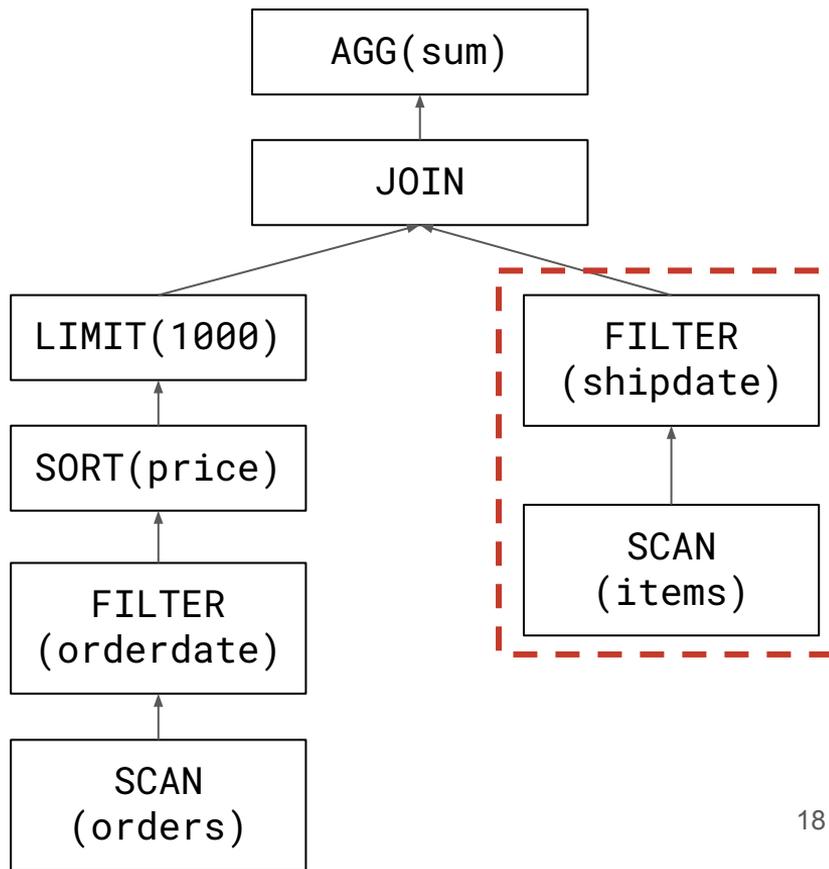




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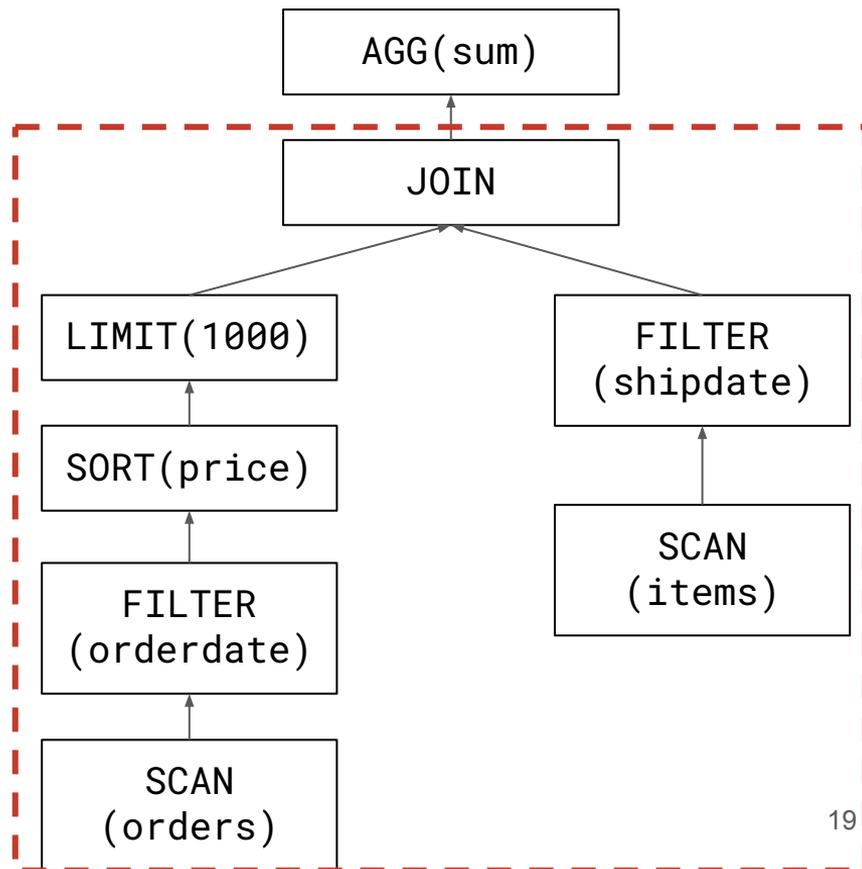
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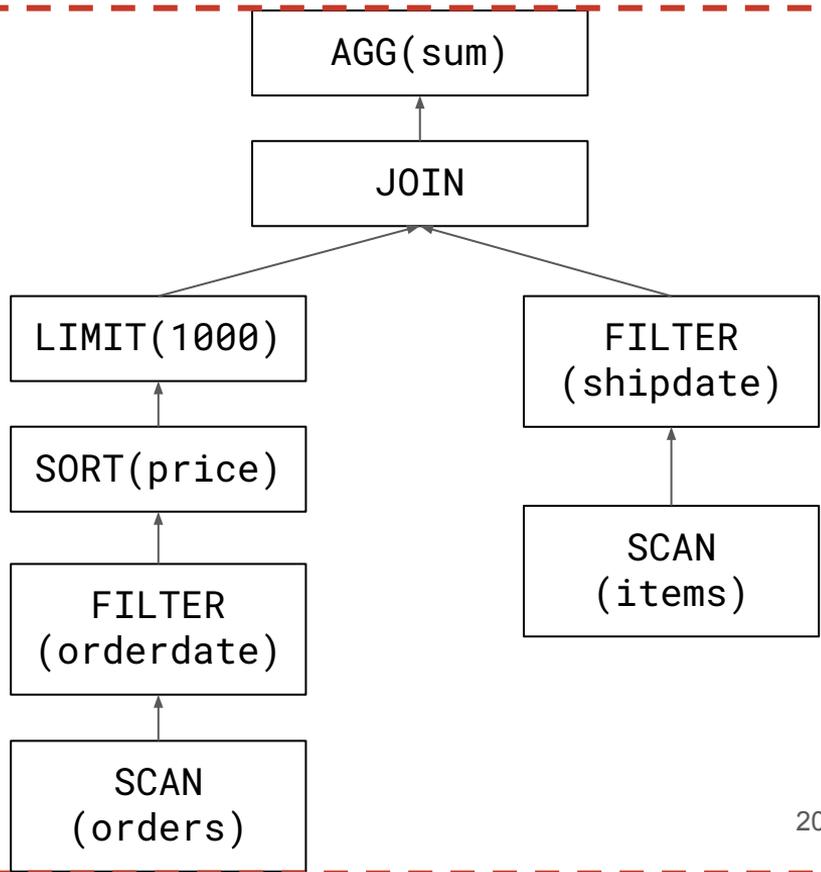




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- Main limitation: partial coverage of stream operations
  - Even if a set of queries covers all the query plan operators supported by S2S, some methods of the Java Stream API would never be called
  - Operations covered by S2S are the most relevant for data processing
  - Only few stream operations make little sense in SQL: takeWhile/dropWhile
    - Designed for ordered sequences but SQL tables are multisets



- We proposed a generative programming technique to create benchmarks for the Java Stream API
- We implemented S2S, a tool to convert SQL queries into Java source code
- With S2S we generated BSS, the first benchmark fully dedicated to the Java Stream API
- Thanks to S2S, many existing SQL benchmarks can be easily converted into benchmarks for the Stream API



# Future Work

- Address mentioned limitation by exploring alternative conversions of SQL operators
  - E.g., mapMulti instead of flatMap
  - Performance comparison of different (equivalent) conversions
- Performance evaluation of parallel streams
- Supporting different input query languages and datasets (e.g. NoSQL/MongoDB)
- Supporting different output data-processing libraries (e.g., LINQ or Scala Collections)
- Large benchmark suite composed of many complex queries (e.g., TPC-H)



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# Thanks!

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S2S (SQL compiler)

[github.com/usi-dag/S2S](https://github.com/usi-dag/S2S)

BSS (Benchmark Suite)

[github.com/usi-dag/BSS](https://github.com/usi-dag/BSS)



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**BACKUP**



# Java Stream API - Example

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# S2S Query Compiler

- Currently supported query plan nodes (operators):
  - Table scans
  - Projections
  - Predicates
  - Limit
  - Sort
  - Joins (nested-loop-joins and hash-joins)
  - Aggregations (count, sum, avg, min/max), and grouping



# S2S Query Compiler

- Current implementation based generates code by visiting the query plan:
- During the visit of each node a state is updated
  - **type**: The concrete type of the elements in the currently generated stream
  - **body**: The Java source code generated for the current stream
  - **decl**: A list of variables declaration and initialization
- At the end of the visit S2S emits a method body implementing the input SQL query
- The code is composed of a list of variable assignments as defined in the list **decl** and then the code of the last pipeline which is stored in **body**



# S2S Compiler: Table scans

- Table scans are always the leaves in a query plan (first visited nodes)
- Each table-scan node models a loop over a single input table T
- Tables are implemented as arrays of classes generated with the in-memory DB
  - **type** is the name of the Java class for table T
  - **body** is set to the code `Stream.of(DB.T)`



# S2S Compiler: Projections

- Projections are commonly defined by a list of SQL expressions in the SELECT clause
- By visiting a projection, S2S generates a Java class **C** to wrap the projected fields
- Each SQL expressions **S<sub>i</sub>** is converted into a Java expression **J<sub>i</sub>**
  - **type** is set to **C**
  - **body** is concatenated with the code `.map(row -> new C(J1, ..., Jn))`



# S2S Compiler: Predicates

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- Predicates are defined by the WHERE and the HAVING clauses
- The predicate is converted into a Java expression **expr**
  - **type** is unchanged (the output type is the input type)
  - **body** is concatenated with the code `.filter(row -> expr)`



# S2S compiler: Limit & Sort

- The limit operator selects only a given number of rows **n**
  - **body** is concatenated with the code `.limit(n)`
- Visiting the sort operator, S2S generates code **C** defining a Java **Comparator** instance
  - **body** is concatenated with the code `.sortec(row -> C)`



# S2S Compiler: Joins

- Join nodes have two children and are implemented with two stream pipelines
- A second visitor (**v2**) is created and used to visit the left child
- The visitor (**v**) visits the right child
- **v.type** is set to a freshly generated class to hold the fields of the joined tables
- **v.dec1** is set to **v2.dec1** and a new variable declaration that defines a data-structure to store the elements visited on the left side is appended to **v.dec1**
- **v.body** update depends on the join type (nested-loop-join or hash-joins)
  - See the paper for details



# S2S Compiler: Aggregations

- Aggregation is the most challenging operator for our conversion
  - A SQL aggregation is defined by a list of aggregation functions
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